

PATENTS JCN-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant :

John C. Novogrod

Serial No.

08/942,957

Filed

October 2, 1997

For

SYSTEM AND METHOD FOR REQUESTING AND

DISPENSING NEGOTIABLE INSTRUMEN

Group Art Unit:

2876

1111 29 1999

Examiner

Michael G. Lee

TECHNOLOGY CENTER 2800

New York, New York 10020 July 22, 1999

Hon. Assistant Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Statement in the above-identified application. This Statement is submitted more than three months from the application filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. § 1.98, this Statement is accompanied by:



a certification as required under 37 C.F.R. [X] § 1.97(e)(1) or § 1.97(e)(2);

[] the fee as set forth in 37 C.F.R. \S 1.17(p).

The Commissioner is hereby authorized to charge payment of any additional fees required in connection with the accompanying Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Matthew T. Byrne Reg. No.40,934

Attorney for Applicant

FISH & NEAVE

1251 Avenue of the Americas

New York, New York 10020-1104

Tel: (212) 596-9000

I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to: ASSISTANT COMMISSIONER FOR PATENTS. WASHINGTON, D.C. 20231 on

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. § 1.97(c)

Sir:

Pursuant to 37 C.F.R §§ 1.56 and 1.97, applicant hereby makes of record the following patents in the above-identified application:

U.S. Patents

White Iggulden et al.

4,630,201 4,918,723 12/16/86 04/17/90 Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate) are enclosed herewith.

JUL 2 6 1999

Certification Pursuant to 37 C.F.R. § 1.97(e)

The information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the July 16, 1999 International Search Report on applicant's corresponding Patent Cooperation Treaty application PCT/US98/19681. A copy of that Search Report is enclosed herewith.

Consideration of the foregoing in relation to this patent application is respectfully requesteRECEIVED

Respectfully submitted,

New York, New York 10020-1104

Matthew T. Byrne

Tel: (212) 596-9000

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Reg. No.40,934 I Hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Attorney for Applicant FISH & NEAVE 1251 Avenue of the Americas

Addressed to: ASSISTANT COMMISSIONER FOR

WASHINGTON, D.C. 20231 on

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